

Enhancing Symbolic Execution with Machine-Checked Safety Proofs

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Symbolic Execution

Program analysis technique

- Systematically explores paths
- Checks feasibility using SMT

Applications

- Test input generation
- Bug finding
- Verification




TRAIL
OF
BITS



Symbolic Execution

```
int x; // symbolic
int y = 0;
if (x > 7) {
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Symbolic Execution



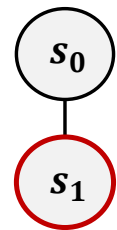
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s_0

Symbolic Execution

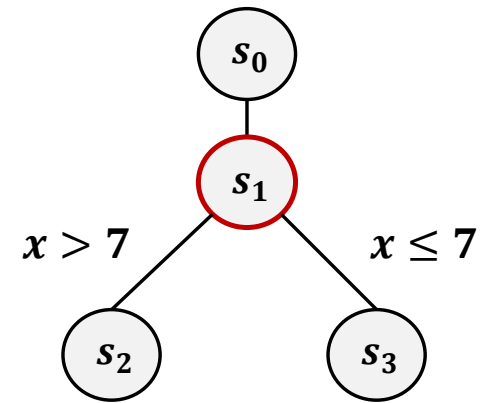


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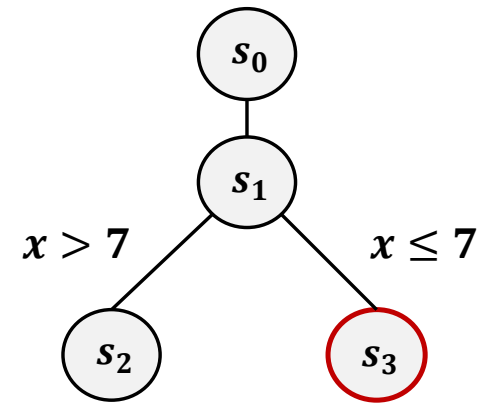
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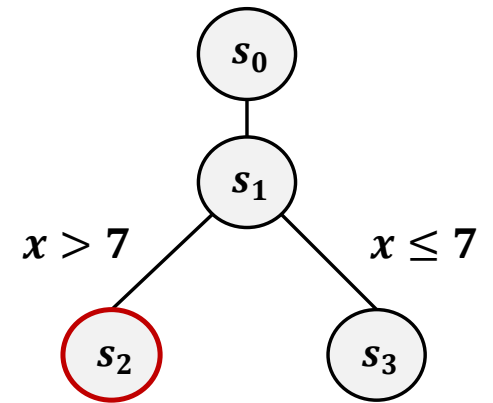
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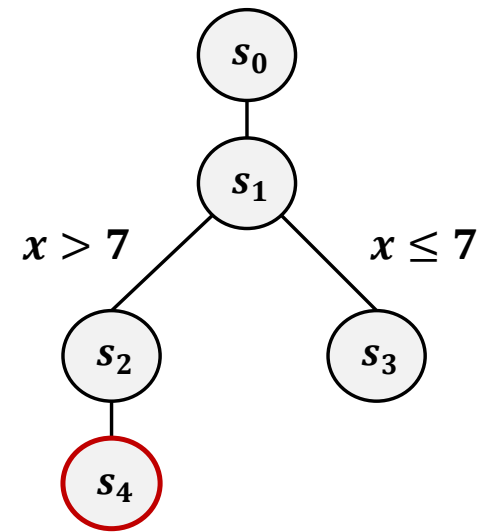
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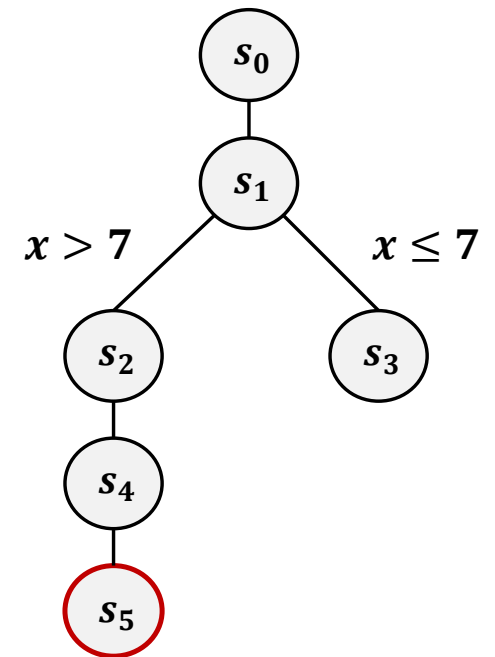
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Symbolic Execution



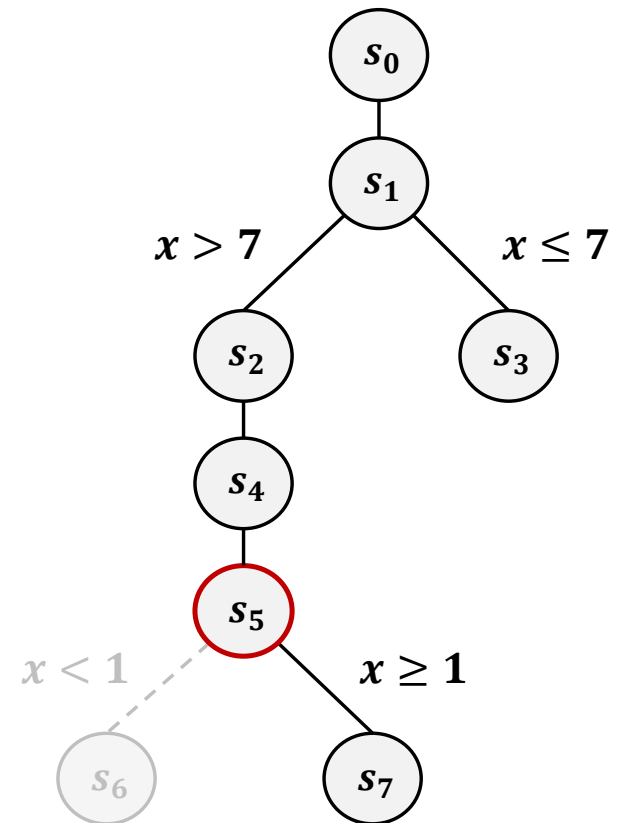
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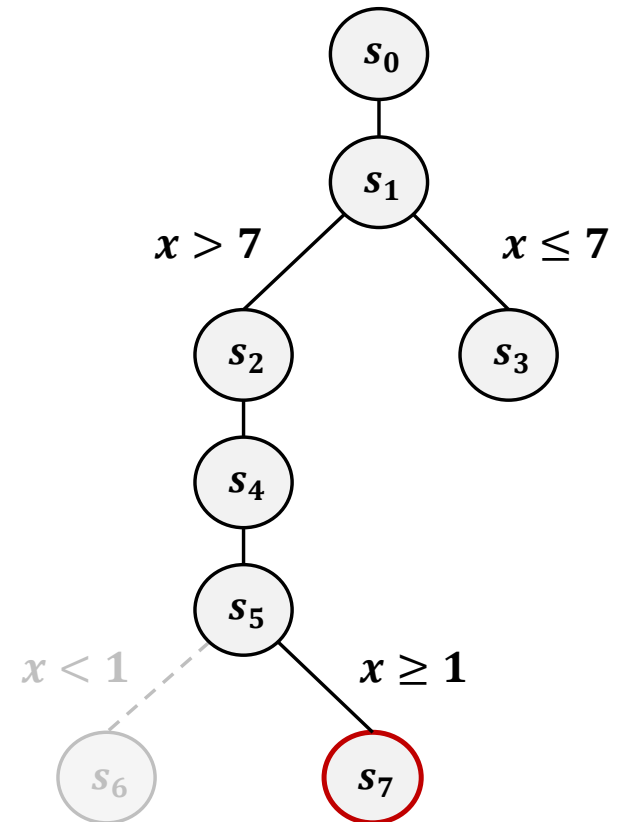
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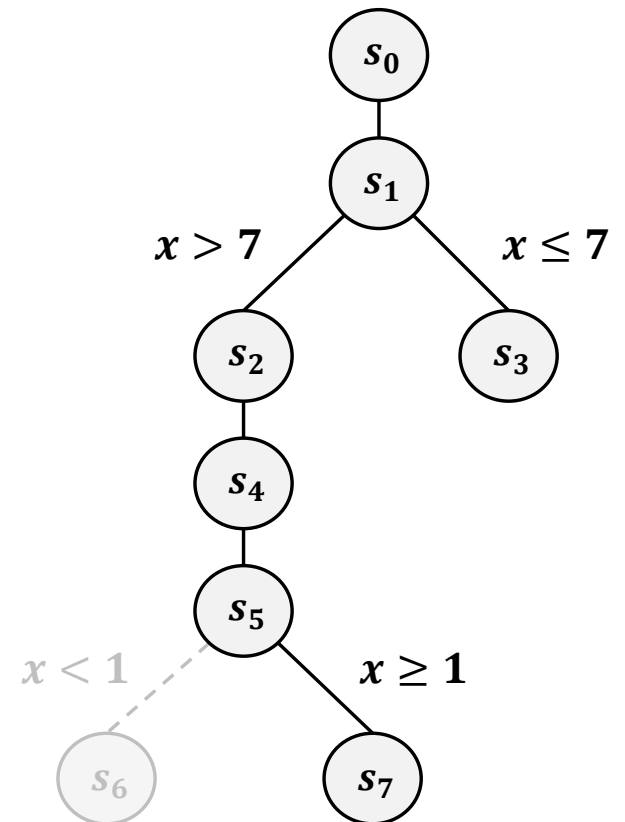


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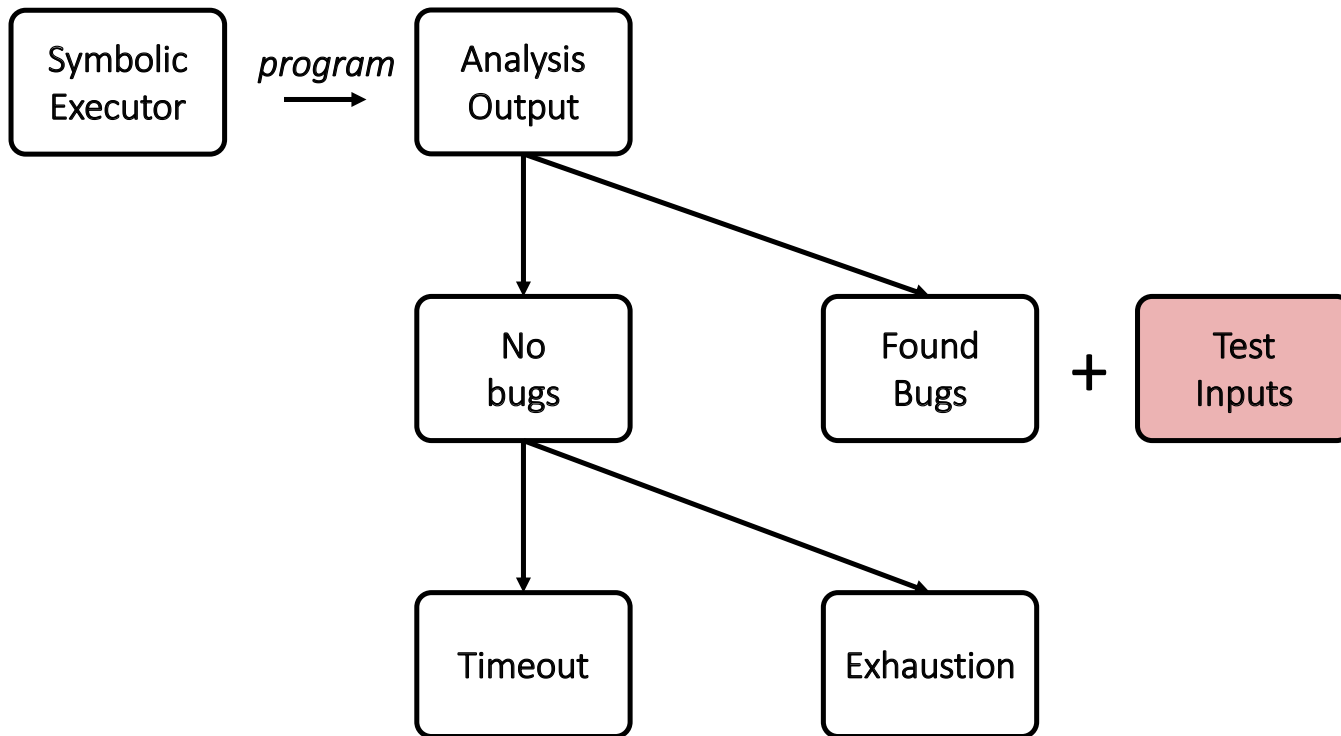


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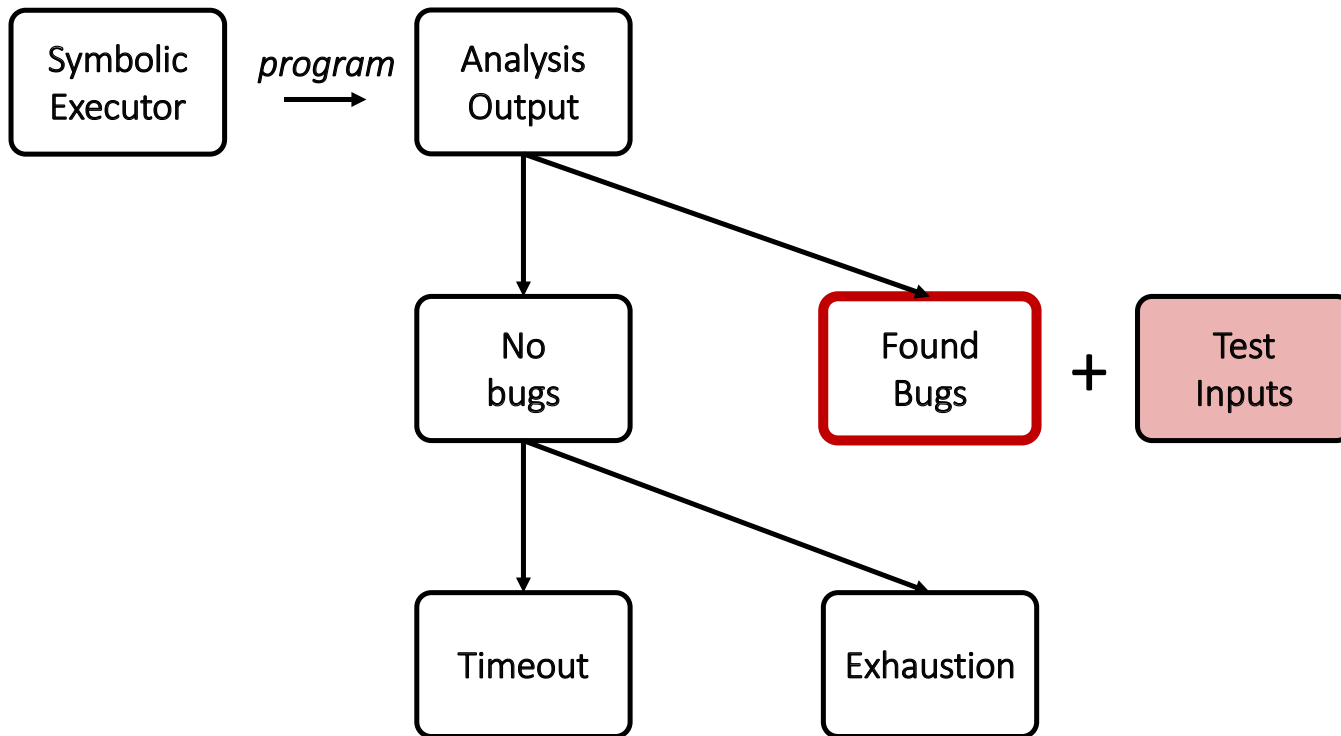
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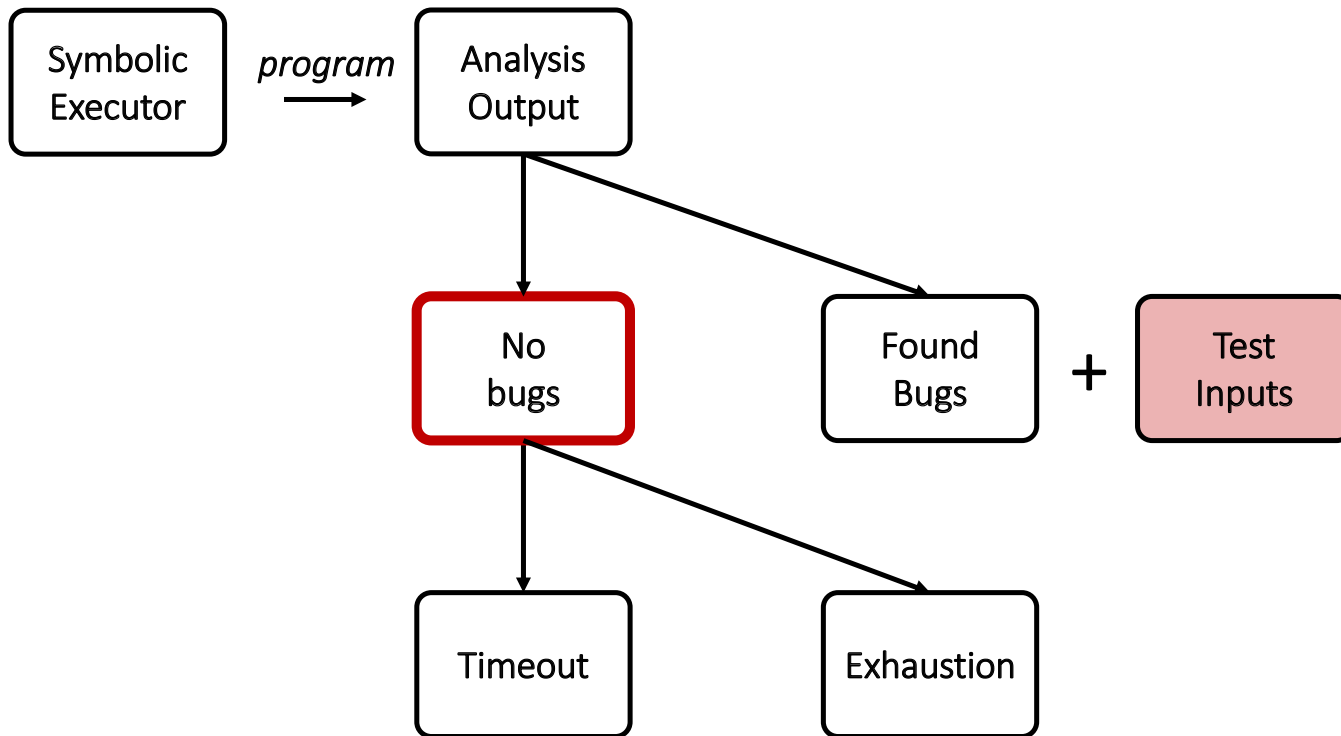
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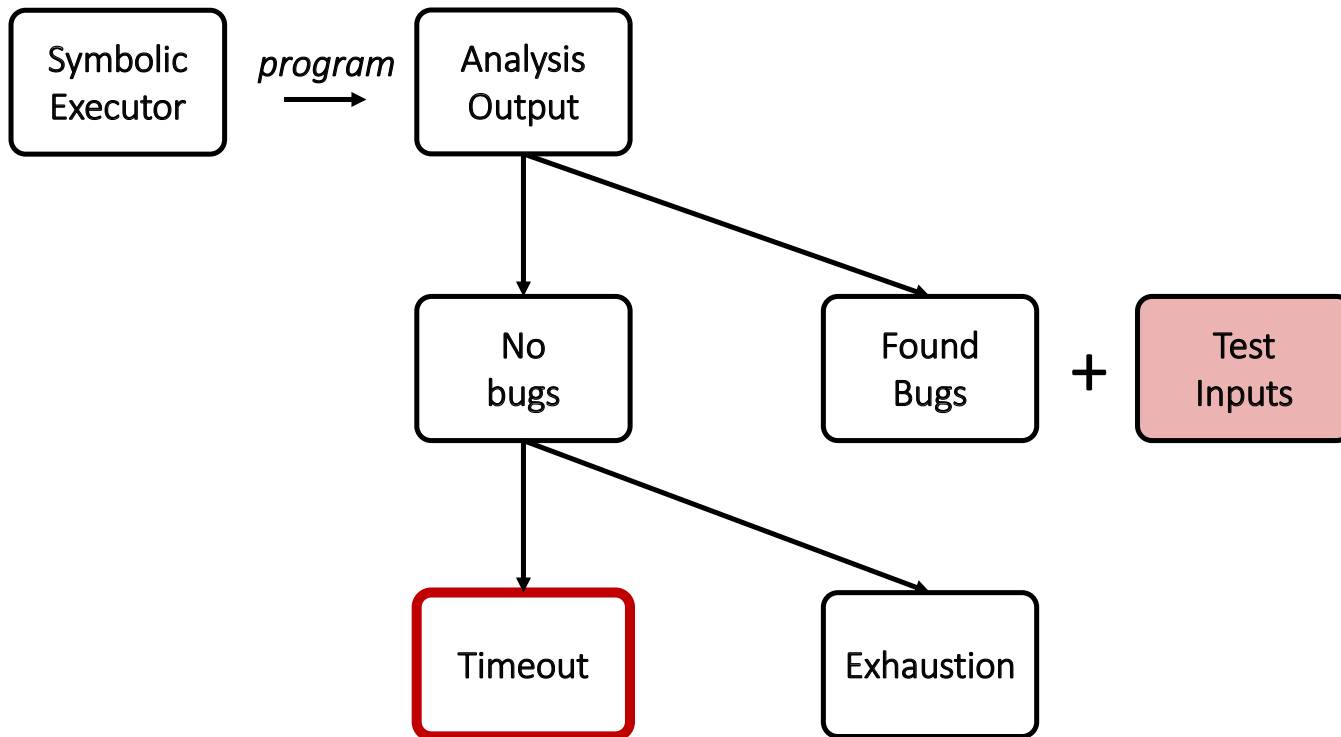
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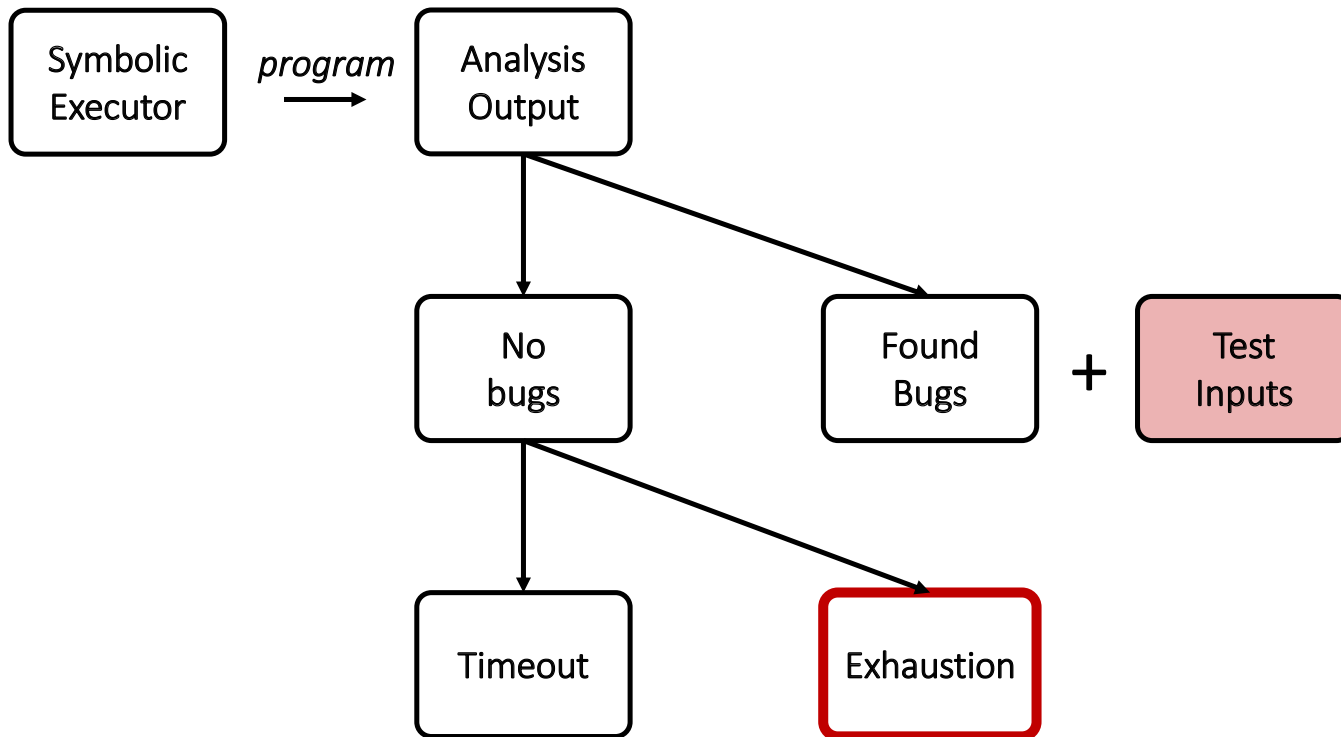
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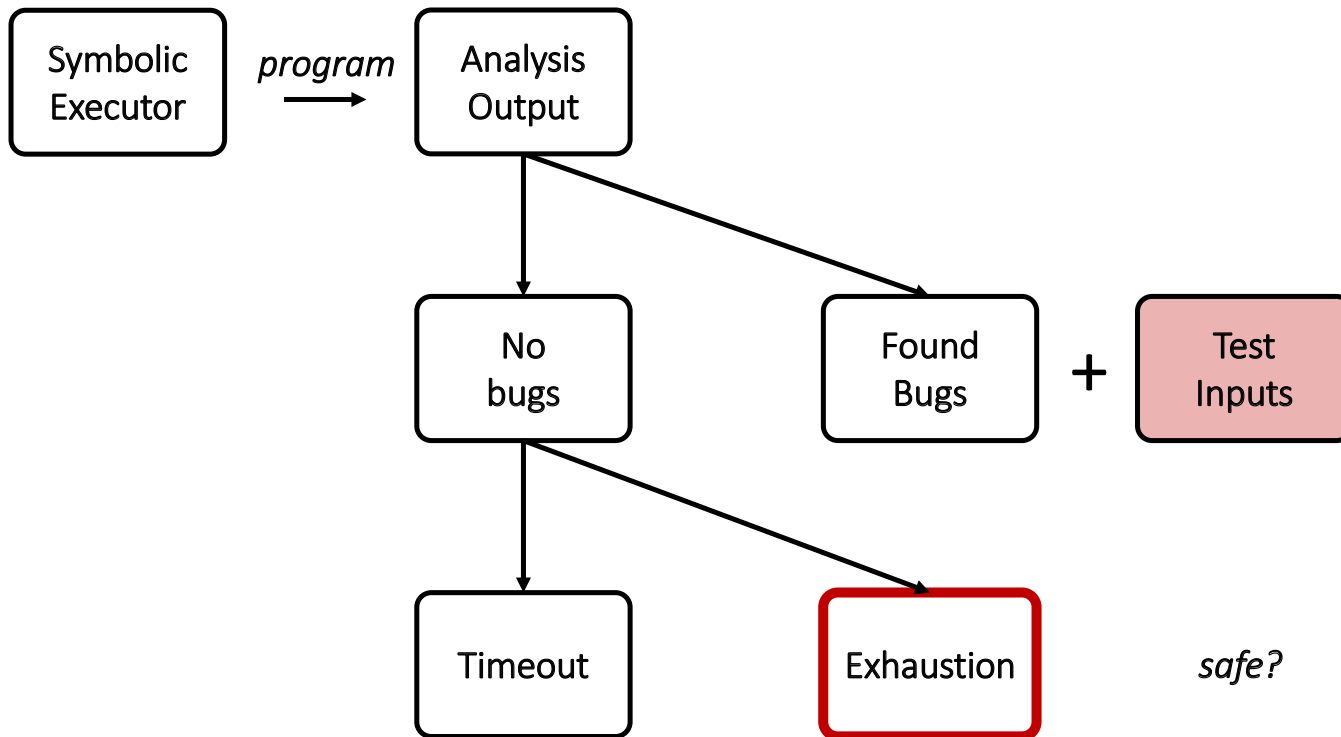
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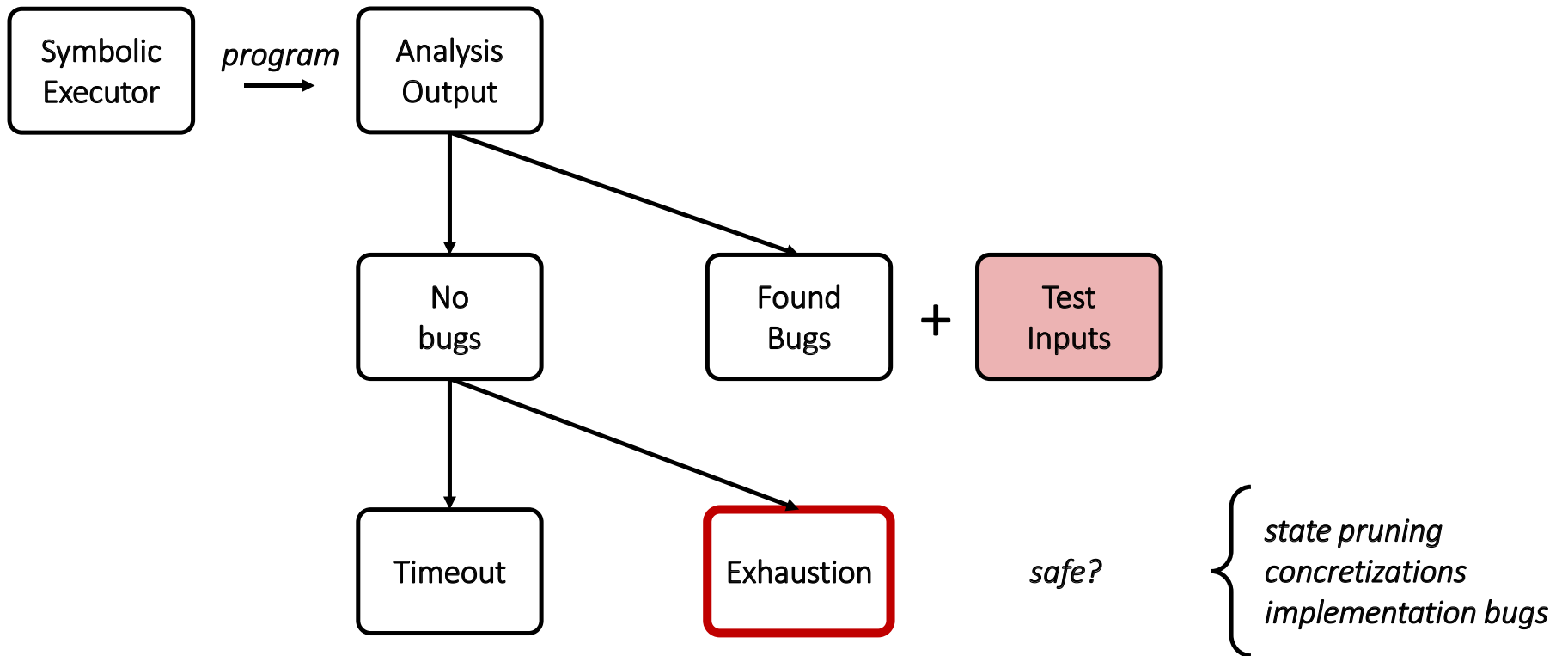
Symbolic Execution



Symbolic Execution



Symbolic Execution



Motivation

```
int x1, x2, y1, y2; // symbolic
if (x1 != x2) {
    int gradient = (y1 - y2) / (x1 - x2);
}
```

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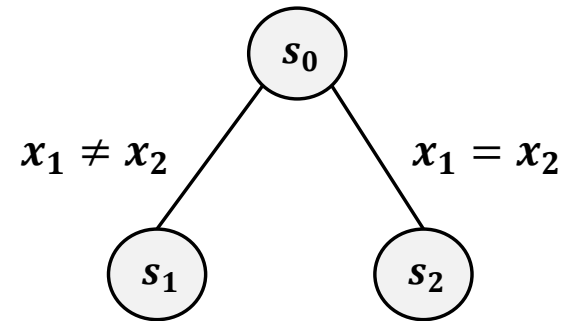


computed by KLEE

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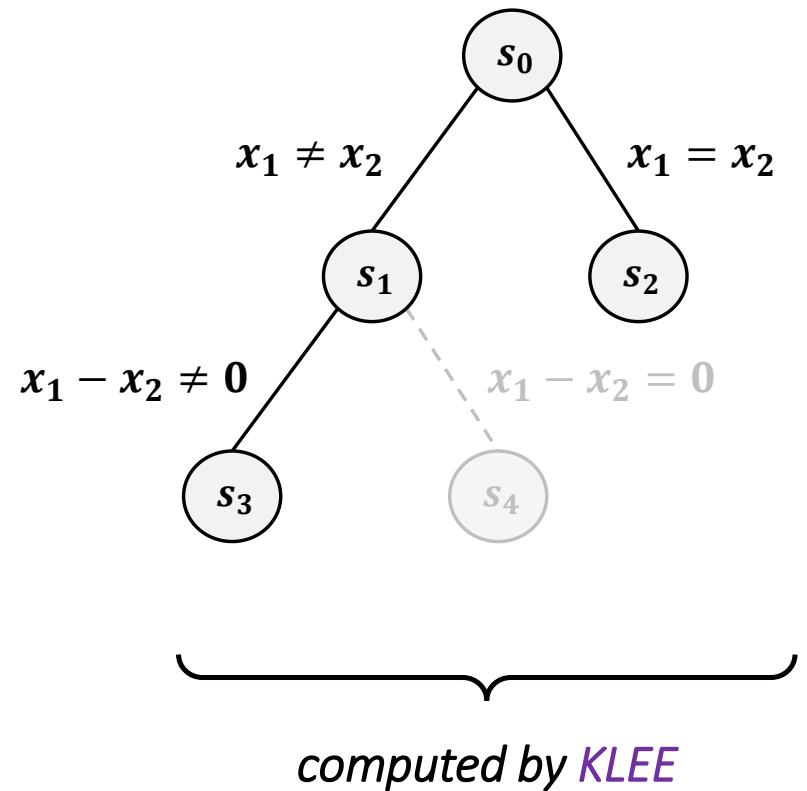


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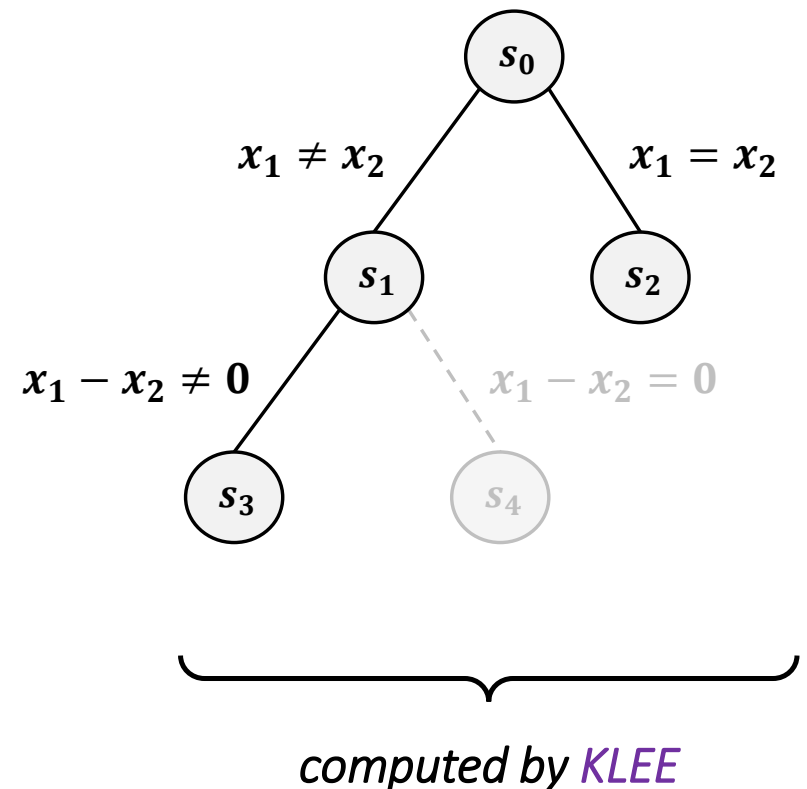
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UNSAFE

signed division a/b fails when:

✓ $b = 0$

✗ $a = -2147483647, b = -1$



How can we guarantee safety?

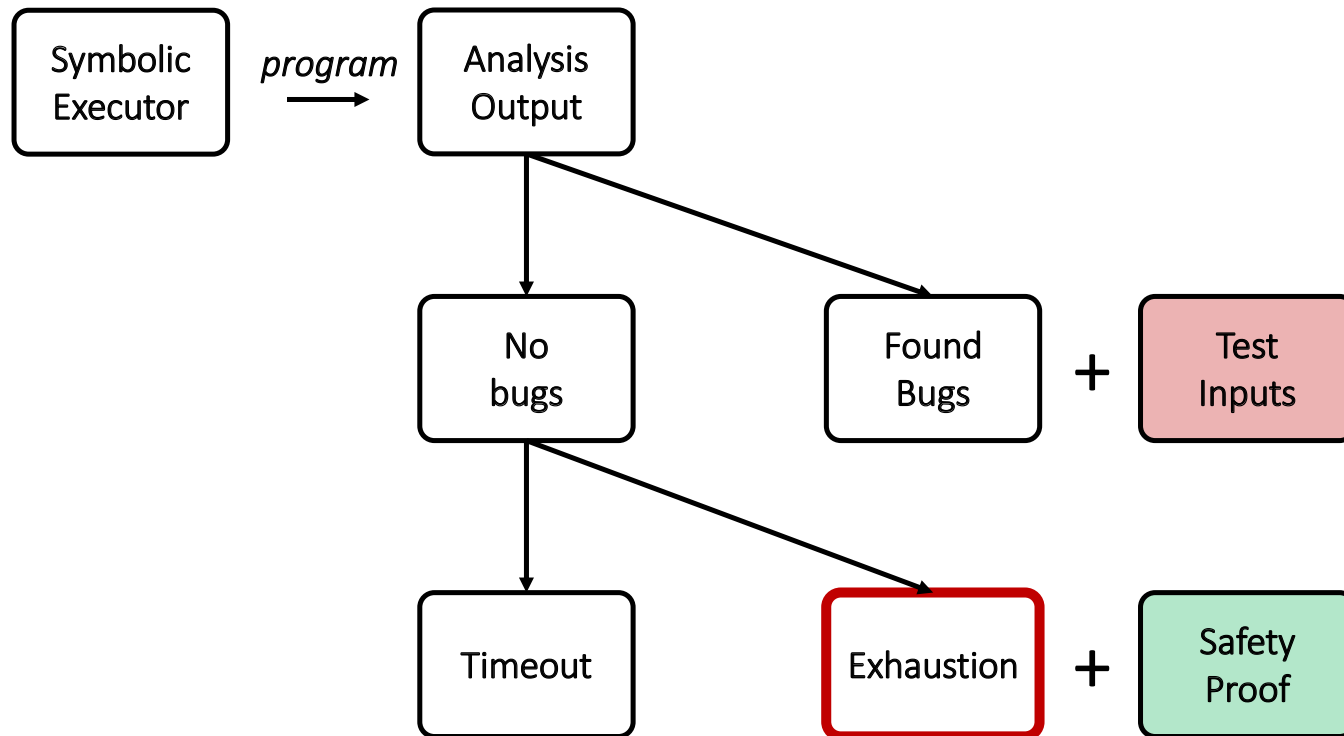
Verifying the symbolic executor is **hard**

- Complex code with third-party dependencies
 - *Standard library, SMT Solver, etc.*

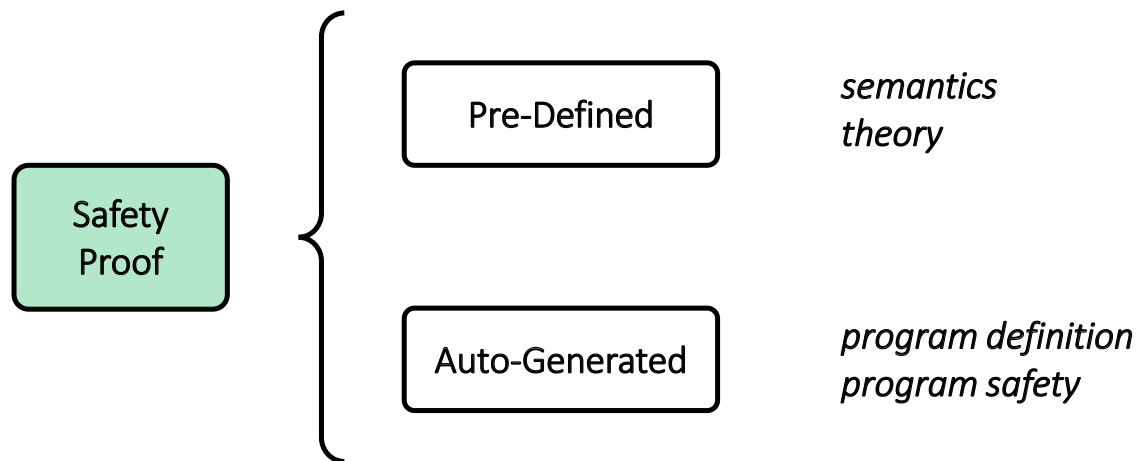
Our Solution:

- Adopt the approach of *translation validation*
- Generate a safety proof that is specific to the analyzed program

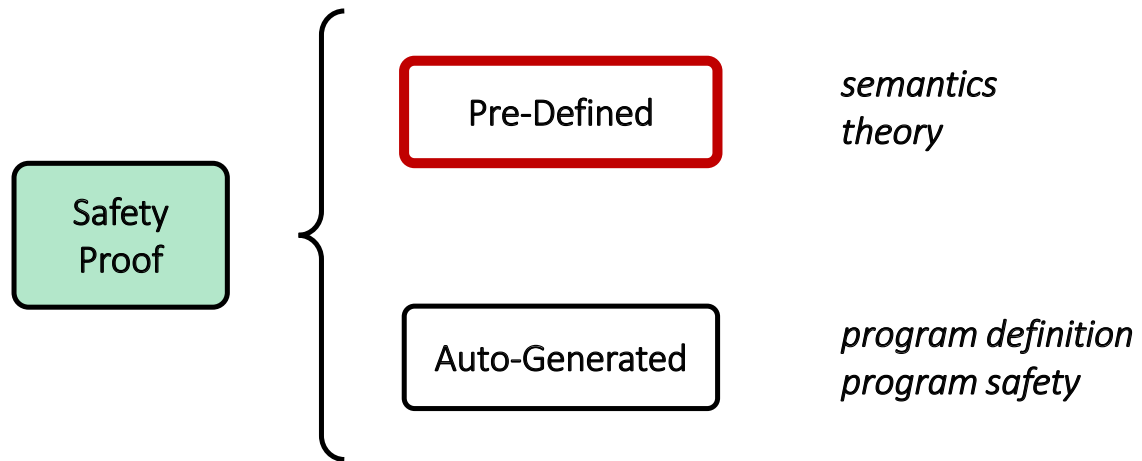
Our Approach



Proof Structure



Proof Structure



Concrete and Symbolic Semantics

- Concrete state: (ℓ, σ, κ)
 - ℓ : program location
 - σ : store (integers, undef, poison)
 - κ : stack
- Symbolic state: $(\ell, \tilde{\sigma}, \tilde{\kappa}, \varphi)$
 - ℓ : program location
 - $\tilde{\sigma}$: symbolic store (SMT expressions)
 - $\tilde{\kappa}$: symbolic stack
 - φ : path constraints

Concrete

Assign Rule ($\ell : x = e$)

$$(\ell, \sigma, \kappa) \rightarrow (\ell + 1, \sigma[x \mapsto eval(e, \sigma)], \kappa)$$

Branch Rule ($\ell : br\ e\ b_1\ b_2$)

$$eval(e, \sigma) = true$$

$$(\ell, \sigma, \kappa) \rightarrow (\ell_{b_1}, \sigma, \kappa)$$

$$eval(e, \sigma) = false$$

$$(\ell, \sigma, \kappa) \rightarrow (\ell_{b_2}, \sigma, \kappa)$$

Symbolic

$$(\ell, \tilde{\sigma}, \tilde{\kappa}, \varphi) \rightsquigarrow (\ell + 1, \tilde{\sigma}[x \mapsto \widetilde{eval}(e, \tilde{\sigma})], \tilde{\kappa}, \varphi)$$

$$(\ell, \tilde{\sigma}, \tilde{\kappa}, \varphi) \rightsquigarrow (\ell_{b_1}, \tilde{\sigma}, \tilde{\kappa}, \varphi \wedge \widetilde{eval}(e, \tilde{\sigma}))$$

$$(\ell, \tilde{\sigma}, \tilde{\kappa}, \varphi) \rightsquigarrow (\ell_{b_2}, \tilde{\sigma}, \tilde{\kappa}, \varphi \wedge \neg \widetilde{eval}(e, \tilde{\sigma}))$$

Concrete and Symbolic Semantics

Over-approximation relation $(\ell, \sigma, \kappa) \succ (\ell, \tilde{\sigma}, \tilde{\kappa}, \varphi)$:

- $m \models \varphi$
- c is a concretization of s using m

Theorem (Reachability Completeness):

$$c_{init} \rightarrow^* c$$

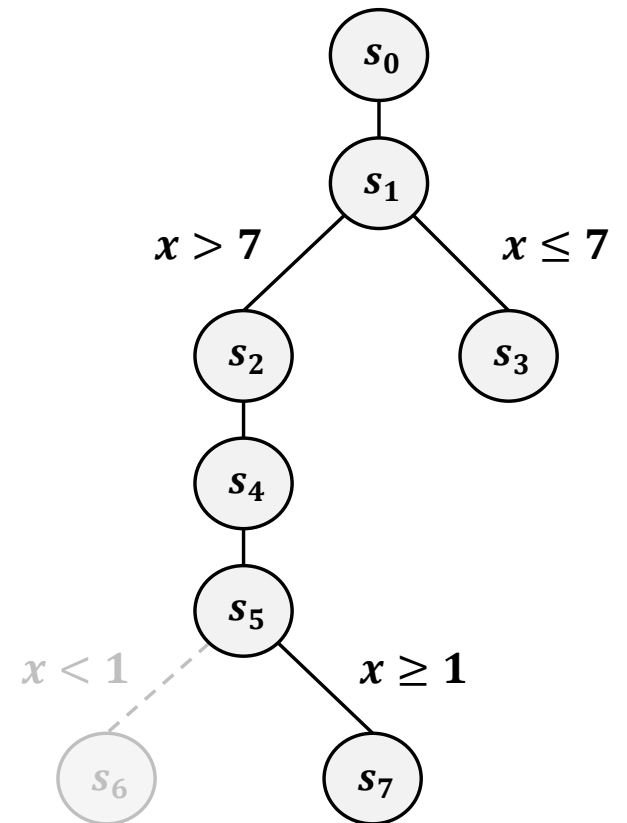
(and there are no poisoned states)



$$\exists s. (s_{init} \rightsquigarrow^* s) \wedge (s \succ c)$$

Execution Trees

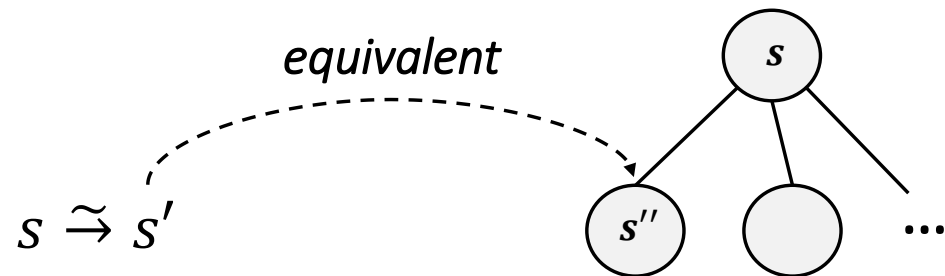
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Safe Execution Trees

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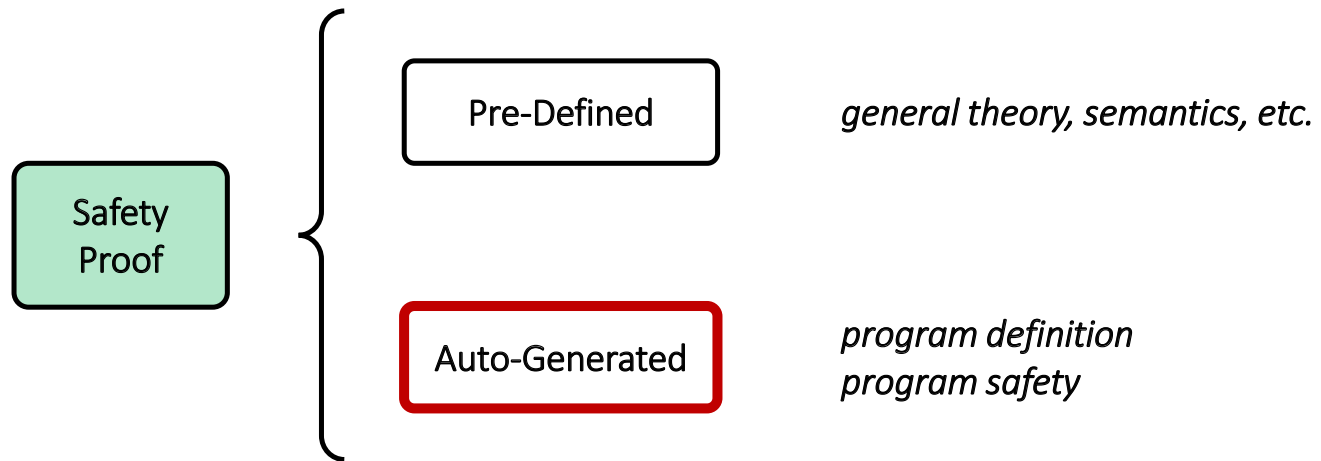


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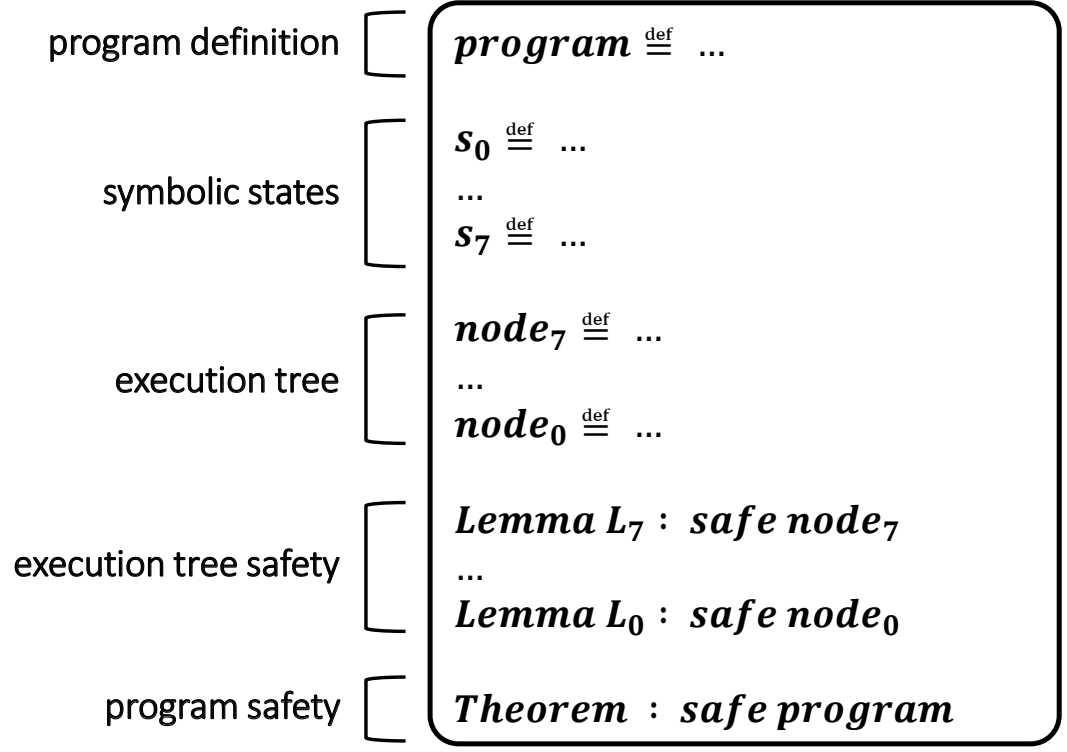
Theorem:

If the execution tree of s_{init} is safe,
then the program is **safe** w.r.t the **concrete semantics**.

Proof Structure

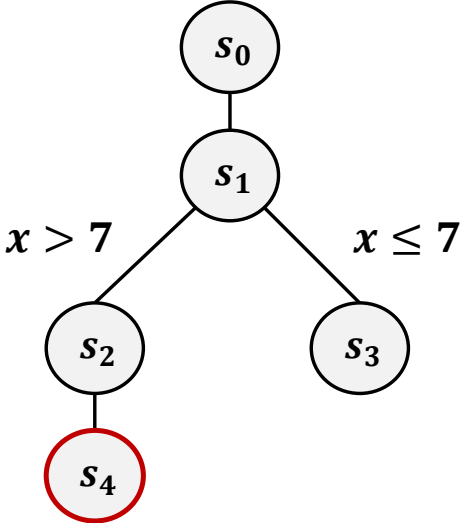


Auto-Generation Proof



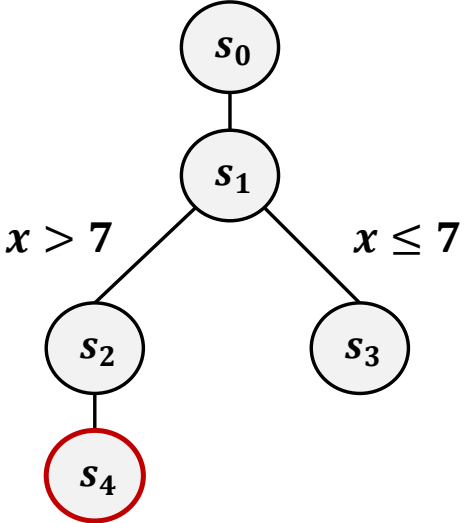
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$(\ell_4, [y \mapsto 0], [], x > 0)$



→

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$$(\ell_4, [y \mapsto 0], [], x > 0) \rightsquigarrow (\ell_5, [y \mapsto 0 + 1], [], x > 0)$$

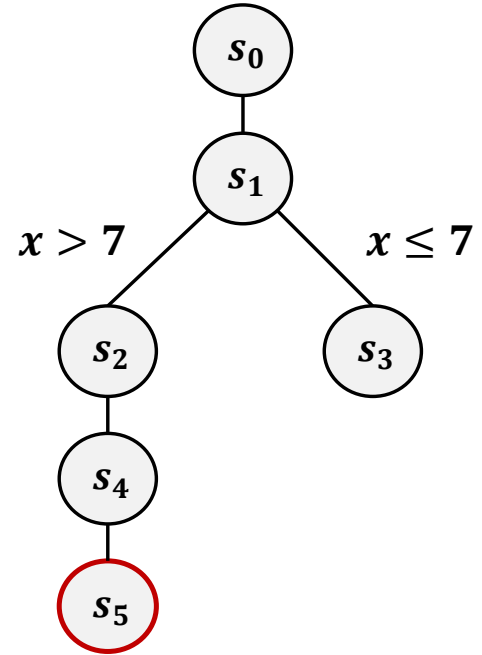
assign rule

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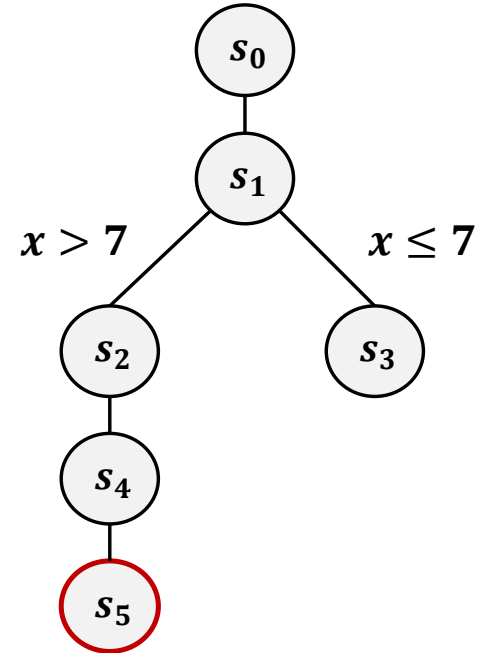
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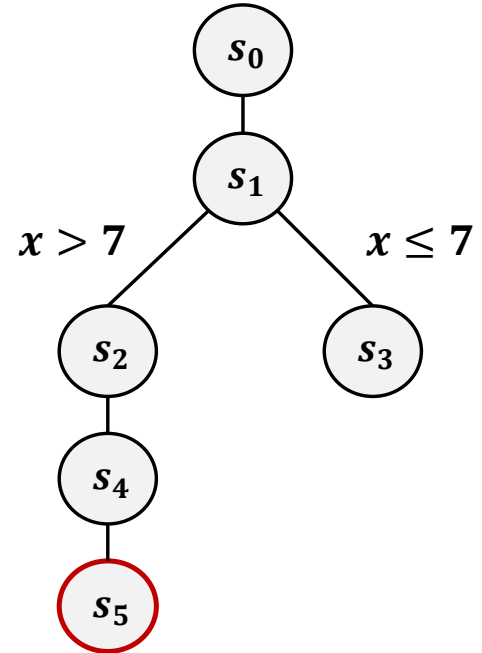
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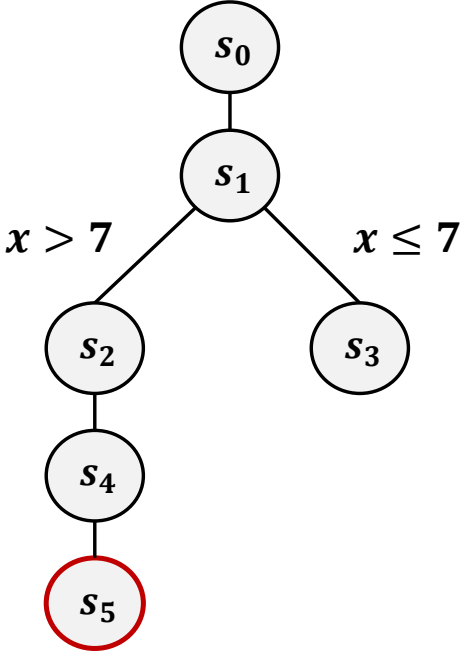
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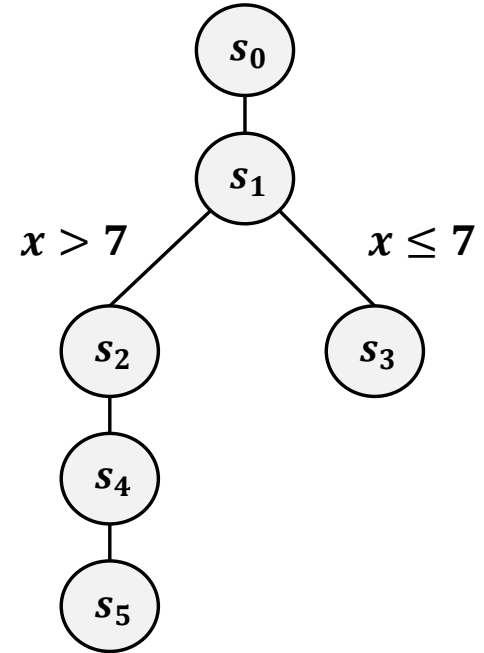




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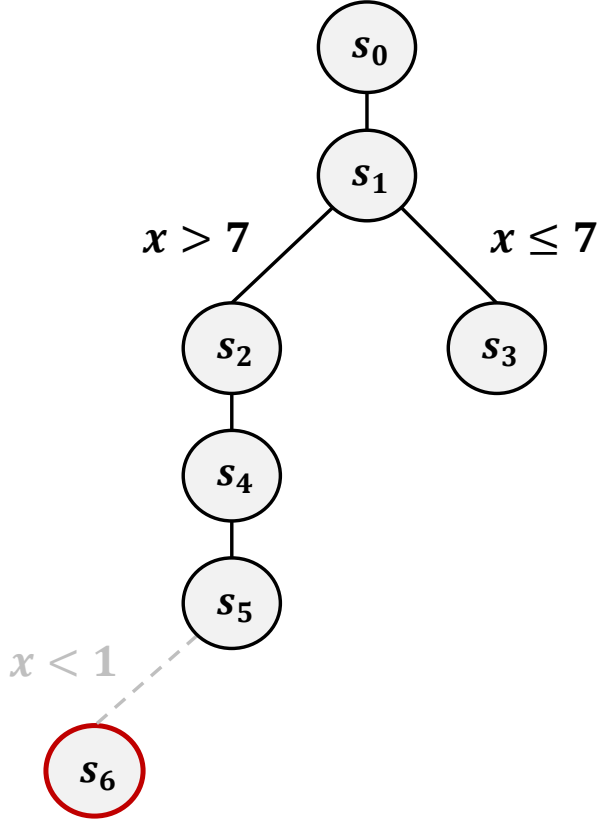


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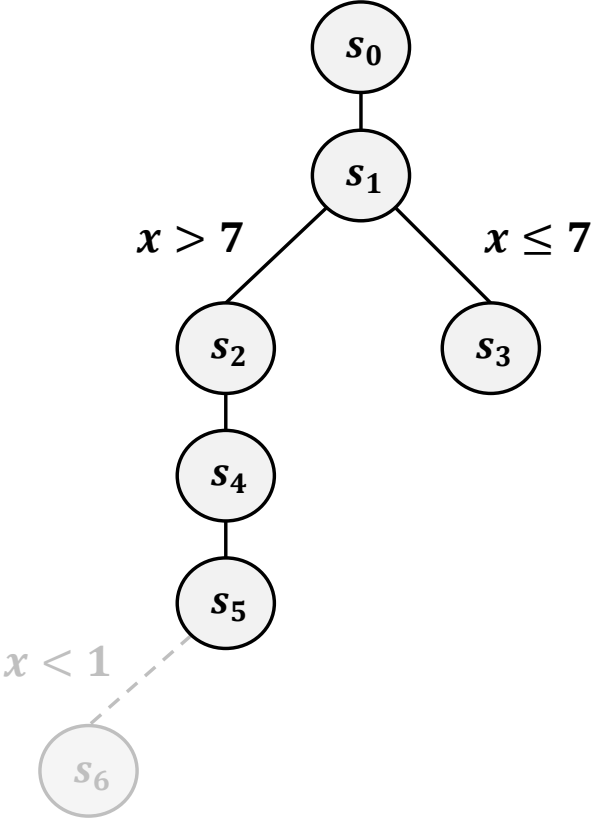




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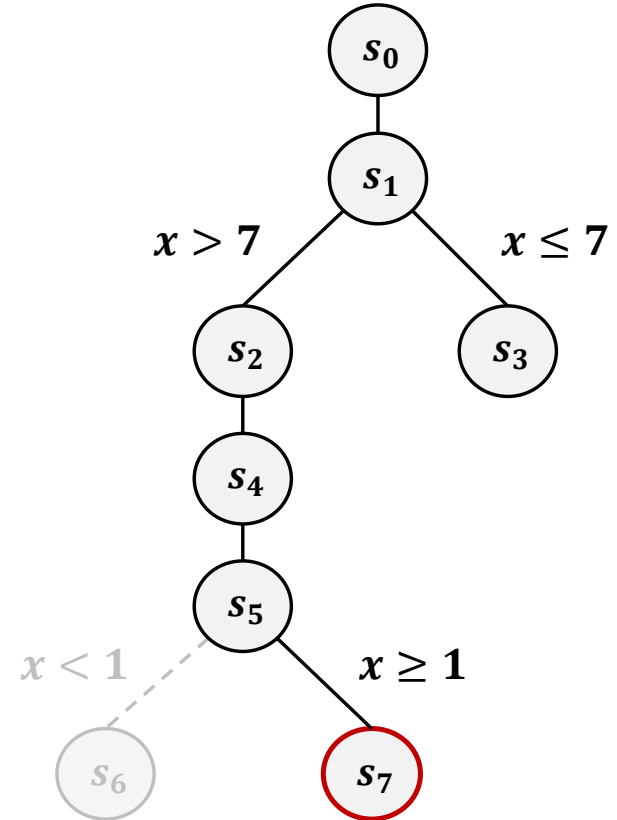
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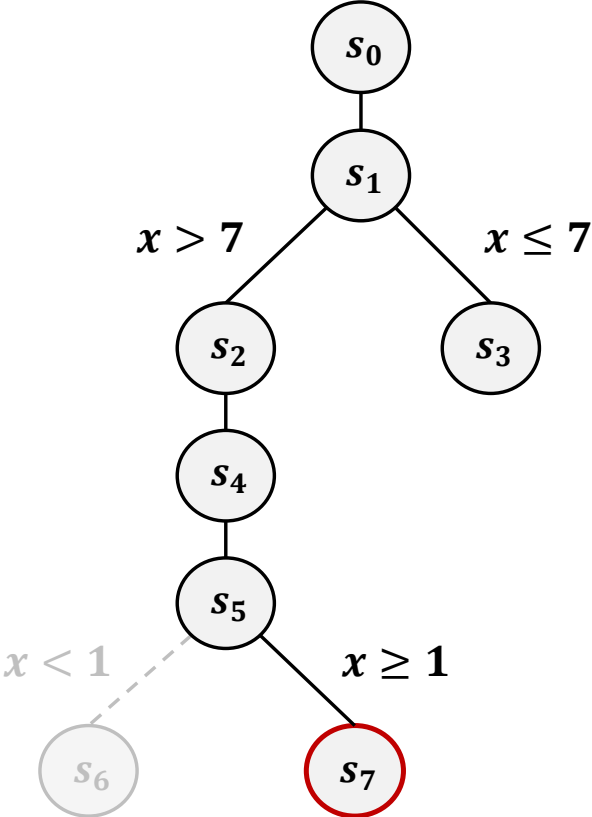


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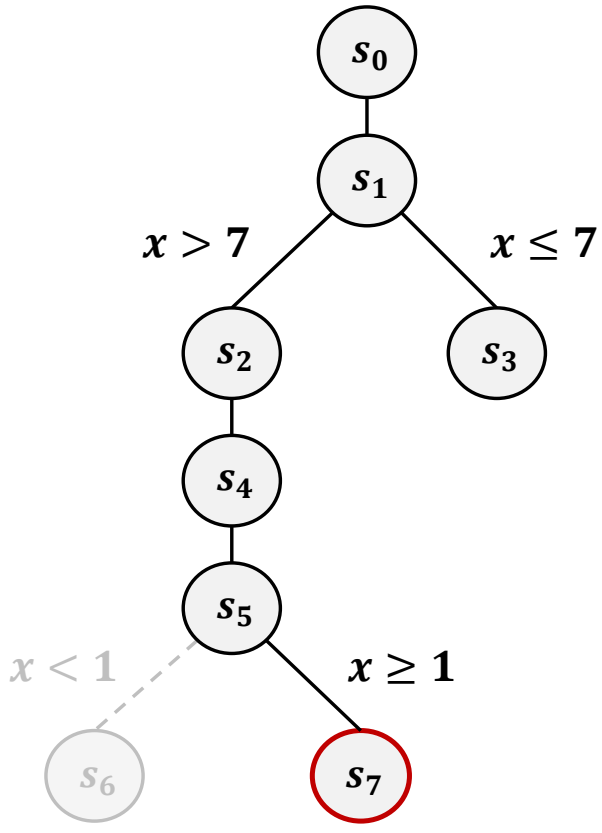
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$$(\ell_7, [y \mapsto 0], [], x > 7)$$

$unsat(pc \wedge \neg e) \Rightarrow pc \wedge e \equiv pc$



Proof Compilation Optimizations

- Term Reuse
- Proof Object Minimization
 - Avoid expensive *Rocq* tactics

$(\ell_4, [y \mapsto 0], [], x > 0) \rightsquigarrow (\ell_5, [y \mapsto 0 + 1], [], x > 0)$

assign rule

$(\ell_5, [y \mapsto 1], [], x > 0)$



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Lemma L4: (safe t4).

Proof.

apply Safe.

- **apply** not_error_assign.

- **intros** s' Hstep.

left.

exists t5.

split.

+ **apply** in_eq.

+ **split.**

{

inversion Hstep.

 ...

}

{ **apply** L5. }

Qed.

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 - s' is infeasible
 - There a child s'' of s such that:
 - s' and s'' are equivalent
 - The subtree of s'' is safe

Lemma L4: (safe t4).

Proof.

apply Safe.

- **apply** not_error_assign.

- **intros** s' Hstep.

left.

exists t5.

split.

+ **apply** in_eq.

+ **split.**

{

inversion Hstep.

...

}

{ **apply** L5. }

Qed.

$$\underbrace{(\ell_4, [y \mapsto 0], [], x > 0) \rightsquigarrow (\ell_5, [y \mapsto 0 + 1], [], x > 0)}$$

assign rule

$$(\ell_5, [y \mapsto 1], [], x > 0)$$

The subtree of s is **safe** if:

- s is not an error state
- If $s \rightsquigarrow s'$, one of these must hold:
 - s' is infeasible
 - There a child s'' of s such that:
 - s' and s'' are equivalent
 - The subtree of s'' is safe

Lemma L4: (safe t4).

Proof.

apply Safe.

- **apply** not_error_assign.

- **intros** s' Hstep.

left.

exists t5.

split.

+ **apply** in_eq.

+ **split.**

{

inversion Hstep.

...

}

{ **apply** L5. }

Qed.

long proof
expensive tactics

$$\underbrace{(\ell_4, [y \mapsto 0], [], x > 0) \rightsquigarrow (\ell_5, [y \mapsto 0 + 1], [], x > 0)}$$

assign rule

$$(\ell_5, [y \mapsto 1], [], x > 0)$$

Lemma for *assign* ($x = e$):

Let $s \stackrel{\text{def}}{=} (l, \tilde{\sigma}, \tilde{\kappa}, \varphi)$, if there exist t and $\tilde{\sigma}_{opt}$ such that:

- $\tilde{\sigma}[x \mapsto \widetilde{eval}(\tilde{\sigma}, e)] \equiv \tilde{\sigma}_{opt}$
- $t.state = (next(l), \tilde{\sigma}_{opt}, \tilde{\kappa}, \varphi)$
- t is safe

then $tree(s, [t])$ is safe.

Lemma L4: (safe t4).

Proof.

- apply** (safe_assign ...).
- **apply** (equiv_store ...).
- **reflexivity**.
- **apply** L5.

Qed.

smaller proof
simple tactics

Lemma safe_assign : ...

Proof.

...
...
...
...

Qed.

expensive tactics
proved only once

Implementation: *KLEE-Rocq*

- Formalization in Rocq (*roughly 20K lines*)
 - Subset of LLVM with integers
 - LLVM semantics (*concrete and symbolic*)
 - Theory (*lemmas and theorems*)
- Proof generation on top of KLEE
 - Extended to generate a proof (.v file)

Evaluation

- Benchmarks
 - SVComp
 - WiSE (FSE'23)
 - Various numerical algorithms
- Metrics
 - Runtime overhead of proof generation
 - Proof compilation time
 - Proof size

	Base	Proof-Generation							#Paths	#Inst
	Analysis Time (seconds)	Analysis Time (seconds)	Proof Time (seconds)			Proof Size (KBs)				
			PG_{opt}	PG_{ltac}	PG_{none}	PG_{opt}	PG_{ltac}	PG_{none}		
<i>svcomp_gcd1</i>	0.1	0.1	1.4	1.9	4.5	419	476	1416	4	82
<i>svcomp_gcd2</i>	2.6	2.7	9.1	95.3	159.6	3242	27373	40743	42	1026
<i>svcomp_gcd3</i>	2.9	3.0	9.3	96.1	158.6	3269	27441	40897	45	1035
<i>svcomp_sum</i>	0.1	0.1	1.7	2.1	5.9	523	579	2329	10	158
<i>svcomp_modulus</i>	288.8	291.0	562.9	OOM	OOM	44517	-	-	398	15082
<i>svcomp_num1</i>	0.1	0.1	2.8	3.3	9.6	959	1064	3924	1	227
<i>svcomp_num2</i>	0.7	1.3	102.3	181.4	522.7	38983	42780	191914	256	11744
<i>svcomp_bits</i>	0.1	0.1	6.4	18.2	50.2	2358	7425	16529	1	650
<i>svcomp_byte_add</i>	0.4	1.1	142.2	263.8	482.6	70197	110753	192877	28	6239
<i>WiSE_gcd</i>	115.2	120.0	250.4	OOM	OOM	85845	-	-	1507	29228
<i>WiSE_factorial</i>	0.1	0.1	7.2	16.7	47.4	2967	3356	18903	13	1109
<i>WiSE_sqrt</i>	6.4	6.5	15.6	111.4	172.7	4656	10764	32428	91	1735
<i>jenkins</i>	30.9	31.1	1.0	1.4	2.9	231	340	702	2	36
<i>murmur</i>	35.4	35.3	1.0	1.3	2.7	237	294	631	2	36
<i>fNV1a</i>	2037.2	2049.3	0.9	0.9	1.5	171	175	327	2	18
<i>is_prime</i>	1.2	1.3	16.0	38.1	128.1	7075	8308	44624	30	2553
<i>reverse</i>	0.1	0.1	1.9	48.0	58.6	315	15274	15973	1	59

Summary & Future Work

- Generating safety proofs using symbolic execution
 - Formal framework in Rocq
 - Runtime proof generation
- Applied for LLVM/KLEE
- Support advanced LLVM features
- Can be potentially applied to:
 - Other symbolic execution tools
 - Other program analysis techniques



<https://github.com/davidtr1037/klee-rocq>

